

TRANSMITTAL LETTER			Case No. 10022/187
Serial No. 09/975,460	Filing Date October 10, 2001	Examiner N/A	Group Art Unit 3629
Inventor(s) Fano et al.			
Title of Invention System, Method and Article of Manufacture for Location-Based Filtering for a Shopping Agent in the Physical World			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Second Preliminary Amendment; First Supplemental Information Disclosure Statement; Form PTO-1449; two cited references; and return post card.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

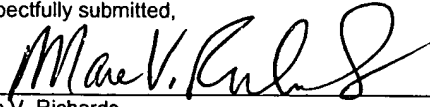
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity	
Rate	Add'l Fee
x \$9=	
x 42=	
+\$140=	
Total add'l fee	\$

Other Than Small Entity	
Rate	Add'l Fee
x \$18=	
x \$84=	
+\$280=	
Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

  
Marc V. Richards  
Registration No. 37,921  
Attorney for Applicant


BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on 10-30-02

Date:

10/30/02

Signature:



RECEIVED  
NOV 06 2002  
GROUP 3600



I hereby certify that the correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents  
Washington, D.C. 20231

on 10-30-02

Date of Deposit

Marc V. Richards, Reg. No. 37,921

Name of applicant, assignee or  
Registered Representative

*Marc V. Richards*

Signature

10-30-02

Date of Signature

*preliminary  
#8/C L. Lewis  
11-8-02*

Our Case No. 10022/187

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of )

FANO et al )

Application No. 09/975,460 )

Filed: October 10, 2001 )

For: System, Method and Article of )  
Manufacture for Location-Based )  
Filtering for a Shopping Agent in the )  
Physical World )

Examiner: N/A

Group Art Unit No. 3629

SECOND PRELIMINARY AMENDMENT

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Prior to the examination on the merits, please enter the amendment below:

**In the Title:**

Please amend the title to read: "Location-Based Filtering for a Shopping Agent in the Physical World."

RECEIVED  
NOV 06 2002  
GROUP 3600